**CURRENT AND PENDING (OTHER) SUPPORT DISCLOSURES**

*(Refer to the Guidelines Below and the Award Terms and Conditions)*

**Section A. Individual Identifying Information**

*Do not submit any personal information in the Current and pending (other) Support (CPS)Disclosures. This includes items such as: home address; home telephone, fax, or cell phone numbers; home e-mail address; driver’s license number; marital status; personal hobbies; and the like. Such personal information is not appropriate for the CPS.*

**Name:** *(First Name, Middle Name, and Last Name)* Click or tap here to enter CI’s name.

**Digital Persistent Identifier (ORCID iD):** Click or tap here to enter CI’s ORCID iD.

**Position/Title:** *(The named Individual’s current position / title)* Click or tap here to enter CI’s position/title.

**Organization Name** *(The name of the primary organization of the named individual)*

Click or tap here to enter PI’s primary organization.

**Organization Location:** (*Enter the City, State/Province, and Country where the primary organization is located. If the State/Province is not applicable, enter N/A*)

Click or tap here to enter PI’s primary organization location.

**Section B. Disclosure Requirements for Proposals and Active Projects**

(*To disclose multiple proposals, support or activities, append disclosure statements 1 through 8 for each additional proposal or support for other current awards or activity*).

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| 1. **Sponsor of the Activity**   (*Identify the sponsor of the activity or the source of funding*): | |
| Click or tap here to enter Sponsor of the Activity. | |
| 1. **Award Number:**   *(Federal award number or any other identifying number.)* | |
| Click or tap here to enter Award Number. | |
| 1. **Award Title:**   (*If the title of the award or activity is not descriptive, add a brief description of the research being performed that would identify any overlaps or synergies with the proposed research.)* | |
| Click or tap here to enter Award Title. | |
| 1. **Status of Support**   *(Select “Pending” from the drop-down menu if disclosures 1-8 are for a proposal that is being considered for funding, including this proposal. Otherwise, select “Current” from the drop-down menu if the disclosures 1-8 are for an active project.)* | |
| Choose an item from the list. | |
| 1. **Total Cost or Value (in US Dollars):**   (*Enter the total cost or value of the award or activity, including direct and indirect costs and cost share. For pending proposals, provide the total amount of requested funding.)* | |
| Click or tap here to enter Total Cost or Value. | |
| 1. **Award Period:**   (*The “Start Date” through “End Date” MM/YYYY*) | |
| **Start Date:** Click or tap to enter a date. | **End Date:** Click or tap to enter a date. |
| 1. **Person-month(s):**   (*The person-months of effort per year dedicated to the award or activity.*) | |
| Click or tap here to enter the number of months per year.Person-months per year | |
| 1. **Overall Objective / Description:**   *(Provide a brief statement of the overall objectives of this Proposal / Active Project.)* | |
| Click or tap here to enter description. | |
| 1. **Statement of Potential Overlap / Other Award or Activity:**   *(Select “None” from the drop-down menu if there are no additional proposals or support for other current awards or activities. If there are additional proposals or support, select “Yes” and append disclosure statements 1 through 8 for each proposal or support for other current awards or activity)* | |
| Choose an item. | |

*(Details of any obligations, contractual or otherwise, to any program, entity, or organization sponsored by a foreign government must be provided to USABC or DOE upon request, including certified translations of any document.)*

**Section C: Certification Statement**

I, [Full Name and Title], understand that I have been designated as a covered individual by the federal funding agency.

I certify to the best of my knowledge and belief that the information contained in this Current and Pending Support Disclosure Statement is true, complete, and accurate. I understand that any false, fictitious, or fraudulent information, misrepresentations, half-truths, or omissions of any material fact, may subject me to criminal, civil, or administrative penalties for fraud, false statements, false claims, or otherwise. (18 U.S.C. §§ 1001 and 287, and 31 U.S.C. §§ 3729-3733 and 3801-3812). I further understand and agree that (1) the statements and representations made herein are material to DOE’s funding decision, and (2) I have a responsibility to update the disclosures during the period of performance of the award should circumstances change which impact the responses provided above.

I also certify that, at the time of submission, I am not a party in a malign foreign talent recruitment program.

I further certify that within the past 12 months I have completed or will complete one of the following: (1) research security training meeting the guidelines in SEC. 10634(b) of 42 USC 19234, or (2) all of the NSF training modules located https://new.nsf.gov/research-security/training .

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| **Signed:** | **Date:** Click or tap to enter a date. |

**Currrent and Pending (Other) Support Disclosures (CPS) Guidelines**

The Current and Pending Support (CPS) Disclosures are intended to allow the identification of potential duplication, overcommitment, potential conflicts of interest or commitment, and all other sources of support. These guidelines are for informational purposes only and not a replacement for the award terms and conditions, which should be relied upon as the controlling document.

Any individual participant identified as a Covered Individual (CI) must fully complete the CPS Disclosure, sign and date the form via certified electronic signature or “wet” signature, and submit it to the appropriate Business Point of Contact or the individual responsible for award compliance.

Information about all current and pending support must be disclosed, including for this project, for ongoing projects, and for any proposal currently under consideration from whatever source, regardless if that support is provided through the proposing organization or is provided directly to the individual.

* To disclose multiple sources of support or activities, append the required information to the completed form. Refer to Section B for details.

# **Who is a Covered Individual**?

An individual who:

1. Contributes in a substantive, meaningful way to the development or execution of the scope of work of a project funded by DOE, and
2. Is designated as a covered individual by DOE.
   1. DOE designates as covered individuals any principal investigator (PI); project director (PD); co-principal investigator (Co-PI); co-project director (Co-PD); project manager; and any individual regardless of title that is functionally performing as a PI, PD, Co-PI, Co-PD, or project manager. Status as a consultant, graduate (master’s or PhD) student, or postdoctoral associate does not automatically disqualify a person from being designated as a “covered individual” if they meet the definition in (1) above.
   2. DOE may further designate covered individuals during the award period of performance.

Covered Individuals currently participating in a Malign Foreign Talent Recruitment Program[[1]](#footnote-1) are prohibited from participating in this award.

# **What Must Participating Organizations Do**

Participating organizations must adhere to the award Current and Pending Support reporting requirements[[2]](#footnote-2) by ensuring:

1. Individuals participating in the project who are designated as a Covered Individual complete the CPS Disclosures form.
   1. **New Covered Individuals:**

Prior to starting work on the project, new CIs must submit a CPS form along with their biosketch/resume and must receive approval from DOE.

* + - 1. Must also certify they comply with the PID and Research Security Training requirements.

1. **Existing Covered Individuals:**

Whenever a change to the CPS disclosure information previously reported occurs, a revised CPS must be submitted within 30 calendar days after the end of the quarterly reporting period following the change.

1. Provide the USABC Business Point of Contact with a copy of each Covered Individual’s CPS Disclosure and their biosketch / resume.
2. Exercise ongoing due diligence to reasonably ensure that no identified covered individuals participating on the DOE-funded project are participating in a *Malign Foreign Talent Recruitment Program.*
   1. Notify the USABC Business Point of Contact within five (5) business days upon learning that an individual on the project team is or is believed to be participating in a malign foreign recruitment program.

# **What Must a Covered Individual Do?**

All CIs are required to keep their CPS up to date throughout the life of the program or for as long as they are an award participant.

1. **Identify All Sources of Support:**

All sources made available, or expected to be made available, to an individual in support of the individual’s R&D efforts, regardless of:

1. Whether the source is foreign or domestic;
2. Whether the resource is made available through the entity applying for an award or directly to the individual;
3. Whether the resource has monetary value.
   1. Refer to Term 55. A. 1. For a comprehensive definition of Current and Pending Support.
4. **Certify You are not Currently Participating in a Malign Foreign Talent Recruitment Program:**

Any current participation in a Malign Foreign Talent Recruitment Programs (MFTR) [[3]](#footnote-3) **must** be disclosed.

1. A MFTR is any program, position, or activity that includes compensation in the form of cash, in-kind compensation, including research funding, promised future compensation, complimentary foreign travel, things of non de minimis value, honorific titles, career advancement opportunities, or other types of remuneration or consideration directly provided by a foreign country at any level (national, provincial, or local).
   1. Refer to Term 55. A. 2. For a comprehensive definition of an MTFR.
2. **Obtain a Digital Persistent Identifier (PID)**

CIs conducting R&D activities at the prime and subaward level must obtain a PID. The ORCID ID is the only PID service that meets the common/core standards set by the National Science Foundation and required by DOE.

* + - 1. If you do not already have an ORCID iD, one may be obtained by following the link:

[Register - ORCID](https://orcid.org/register)

1. **Attend Research Security Training**

All CIs are required to attend Research Security Training. The Research Security Training Program outlines the responsibilities of the CI for compliance, including providing adequate records to prove compliance and certifying that they have completed the mandatory training.

1. The Research Security Training Program document may be accessed via the USABC website.
2. **Notify the Appropriate Award Contact if There Are Any Changes**

Whenever there is a change to the submitted CPS disclosures, promptly submit an updated CPS to the individual responsible for award compliance within your organization.

1. Term 63. Prohibition related to Malign Foreign Talent Recruitment Programs [↑](#footnote-ref-1)
2. Term 55. Current and Pending Support [↑](#footnote-ref-2)
3. See sections 10631-10632 of P.L. 117-167 (42 USC 19231-19232); OSTP-Foreign-Talent-Recruitment-Program-Guidelines.pdf (whitehouse.gov). [↑](#footnote-ref-3)